

**CITY OF VAUGHAN
COMPLIANCE AUDIT COMMITTEE
AGENDA**

Thursday, June 6, 2019

7:00 p.m.

Committee Rooms 242/243

2nd Floor, Vaughan City Hall

2141 Major Mackenzie Drive

Vaughan, Ontario

	Pages
1. ELECTION OF THE CHAIR	
2. STATEMENTS FROM COMMITTEE MEMBERS	
3. CONFIRMATION OF AGENDA	
4. DISCLOSURE OF INTEREST	
5. COMMUNICATIONS	
6. CONSIDERATION OF ITEMS REQUIRING DISCUSSION	
1. CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES – MARIANNA FEDERICO Report of the City Clerk / Returning Officer with respect to the above.	2
2. CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES – PAUL FEDERICO Report of the City Clerk / Returning Officer with respect to the above.	7
3. CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES – ADAM STARKMAN Report of the City Clerk / Returning Officer with respect to the above.	12
4. CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES – PETER CIPRIANO Report of the City Clerk / Returning Officer with respect to the above.	17
7. ADJOURNMENT	

COMPLIANCE AUDIT COMMITTEE REPORT

DATE: Thursday, June 06, 2019

WARD(S): ALL

**TITLE: CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES –
MARIANNA FEDERICO**

FROM:

Todd Coles, City Clerk / Returning Officer

ACTION: DECISION

Purpose

The report included as Attachment 1 has been submitted to the Compliance Audit Committee for their consideration as required by section 88.34 of the *Municipal Elections Act, 1996* (the Act).

Recommendations

1. That the Compliance Audit Committee consider the report included as Attachment 1 and decide whether to commence a legal proceeding against the contributor noted in the report for an apparent contravention.

Background

Section 88.34(1), (2), (3) and (4) set out the requirements for the Clerk in respect to reviewing and reporting on contributions to candidates which appear to have exceeded the contribution limits set out in section 88.9 of the Act:

Review of contributions to candidates

88.34 (1) The clerk shall review the contributions reported on the financial statements submitted by a candidate under section 88.25 to determine whether any contributor appears to have exceeded any of the contribution limits under section 88.9. 2016, c. 15, s. 64.

Report, contributions to candidates for council

(2) As soon as possible following the day that is 30 days after the filing date or supplementary filing date, as the case may be, under section 88.30, the clerk shall prepare a report identifying each contributor to a candidate for office on a council who appears to have contravened any of the contribution limits under section 88.9 and,

- (a) if the contributor's total contributions to a candidate for office on a council appear to exceed the limit under section 88.9, the report shall set out the contributions made by that contributor to the candidate; and
- (b) if the contributor's total contributions to two or more candidates for office on the same council appear to exceed the limit under section 88.9, the report shall set out the contributions made by that contributor to all candidates for office on the same council. 2016, c. 15, s. 64.

Same

(3) The clerk shall prepare a separate report under subsection (2) in respect of each contributor who appears to have contravened any of the contribution limits under section 88.9. 2016, c. 15, s. 64.

Same

(4) The clerk shall forward each report prepared under subsection (2) to the compliance audit committee. 2016, c. 15, s. 64.

The report included as Attachment 1 constitutes a report prepared and submitted in accordance with section 88.34(4).

Previous Reports/Authority

[Joint Compliance Audit Committee for the 2018-2022 Term of Council, Item No. 6, Report No. 10, Committee of the Whole, March 20, 2018](#)

Analysis and Options

The Compliance Audit Committee is required to render a decision regarding the findings of the report as set out in section 88.34(8) of the Act:

Decision of compliance audit committee

(8) Within 30 days after receiving a report under subsection (4) or (7), the compliance audit committee shall consider it and decide whether to commence a legal proceeding against a contributor for an apparent contravention. 2016, c. 15, s. 64.

The options available to the Committee are:

1. Commence legal proceeding against the contributor for an apparent contravention; or
2. Not to commence legal proceeding against the contributor for an apparently contravention.

Financial Impact

The costs associated with the Compliance Audit Committee are funded by the City of Vaughan's Election Reserve.

Broader Regional Impacts/Considerations

There are no regional impacts or considerations associated with this report.

Conclusion

The decision of the Committee and brief written reasons for the decision shall be given to the contributor and the City Clerk as required by section 88.34(11).

For more information, please contact: Evan Read, Manager, Elections and Special Projects, Extension 8241

Attachments

1. Clerk's Report on Contributions to Candidates – Marianna Federico – 2018 Municipal Election, May 13, 2019

Prepared by

Evan Read, Manager, Elections and Special Projects, Extension 8241
Todd Coles, City Clerk / Returning Officer, Extension 8281



DATE: MAY 13, 2019

TO: COMPLIANCE AUDIT COMMITTEE

FROM: TODD COLES, CITY CLERK / RETURNING OFFICER

RE: CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES:
MARIANNA FEDERICO, 60 ORLON CRESCENT, RICHMOND HILL
2018 MUNICIPAL ELECTION

In accordance with section 88.34 of the *Municipal Elections Act, 1996*, having reviewed the contributions to candidates as reported on the financial statements filed under section 88.25 of the Act, I, Todd Coles the City Clerk and Returning Officer of the City of Vaughan report that the following contributor appears to have exceeded contribution limits set out under section 88.9 of the Act:

Marianna Federico
60 Orlon Crescent
Richmond Hill, ON L4C 6S6

1. The above-named contributor's total contributions to Gino Rosati, a candidate for office on Vaughan Council, appears to have exceeded the limit under section 88.9 based on the following contributions:

Name of Contributor	Full Address of Contributor	Candidate	Contribution Value (\$)	Date Received
Paul and Marianna Federico	60 Orlon Crescent, Richmond Hill ON L4C 6S6	Gino Rosati	\$1,200.00	7 Jun 2018
Paul and Marianna Federico	60 Orlon Crescent, Richmond Hill ON L4C 6S6	Gino Rosati	\$1,200.00	7 Jun 2018

I hereby submit this report to you for your consideration in accordance with section 88.34 of the Act.



Respectfully submitted,



A handwritten signature in blue ink, appearing to read 'Todd Coles', written over a horizontal line.

Todd Coles

City Clerk / Returning Officer

Office of the City Clerk

COMPLIANCE AUDIT COMMITTEE REPORT

DATE: Thursday, June 06, 2019

WARD(S): ALL

**TITLE: CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES –
PAUL FEDERICO**

FROM:

Todd Coles, City Clerk / Returning Officer

ACTION: DECISION

Purpose

The report included as Attachment 1 has been submitted to the Compliance Audit Committee for their consideration as required by section 88.34 of the *Municipal Elections Act, 1996* (the Act).

Recommendations

1. That the Compliance Audit Committee consider the report included as Attachment 1 and decide whether to commence a legal proceeding against the contributor noted in the report for an apparent contravention.

Background

Section 88.34(1), (2), (3) and (4) set out the requirements for the Clerk in respect to reviewing and reporting on contributions to candidates which appear to have exceeded the contribution limits set out in section 88.9 of the Act:

Review of contributions to candidates

88.34 (1) The clerk shall review the contributions reported on the financial statements submitted by a candidate under section 88.25 to determine whether any contributor appears to have exceeded any of the contribution limits under section 88.9. 2016, c. 15, s. 64.

Report, contributions to candidates for council

(2) As soon as possible following the day that is 30 days after the filing date or supplementary filing date, as the case may be, under section 88.30, the clerk shall prepare a report identifying each contributor to a candidate for office on a council who appears to have contravened any of the contribution limits under section 88.9 and,

- (a) if the contributor's total contributions to a candidate for office on a council appear to exceed the limit under section 88.9, the report shall set out the contributions made by that contributor to the candidate; and
- (b) if the contributor's total contributions to two or more candidates for office on the same council appear to exceed the limit under section 88.9, the report shall set out the contributions made by that contributor to all candidates for office on the same council. 2016, c. 15, s. 64.

Same

(3) The clerk shall prepare a separate report under subsection (2) in respect of each contributor who appears to have contravened any of the contribution limits under section 88.9. 2016, c. 15, s. 64.

Same

(4) The clerk shall forward each report prepared under subsection (2) to the compliance audit committee. 2016, c. 15, s. 64.

The report included as Attachment 1 constitutes a report prepared and submitted in accordance with section 88.34(4).

Previous Reports/Authority

[Joint Compliance Audit Committee for the 2018-2022 Term of Council, Item No. 6, Report No. 10, Committee of the Whole, March 20, 2018](#)

Analysis and Options

The Compliance Audit Committee is required to render a decision regarding the findings of the report as set out in section 88.34(8) of the Act:

Decision of compliance audit committee

(8) Within 30 days after receiving a report under subsection (4) or (7), the compliance audit committee shall consider it and decide whether to commence a legal proceeding against a contributor for an apparent contravention. 2016, c. 15, s. 64.

The options available to the Committee are:

1. Commence legal proceeding against the contributor for an apparent contravention; or
2. Not to commence legal proceeding against the contributor for an apparently contravention.

Financial Impact

The costs associated with the Compliance Audit Committee are funded by the City of Vaughan's Election Reserve.

Broader Regional Impacts/Considerations

There are no regional impacts or considerations associated with this report.

Conclusion

The decision of the Committee and brief written reasons for the decision shall be given to the contributor and the City Clerk as required by section 88.34(11).

For more information, please contact: Evan Read, Manager, Elections and Special Projects, Extension 8241

Attachments

1. Clerk's Report on Contributions to Candidates – Paul Federico – 2018 Municipal Election, May 13, 2019

Prepared by

Evan Read, Manager, Elections and Special Projects, Extension 8241
Todd Coles, City Clerk / Returning Officer, Extension 8281



DATE: MAY 13, 2019
TO: COMPLIANCE AUDIT COMMITTEE
FROM: TODD COLES, CITY CLERK / RETURNING OFFICER
RE: CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES:
PAUL FEDERICO, 60 ORLON CRESCENT, RICHMOND HILL
2018 MUNICIPAL ELECTION

In accordance with section 88.34 of the *Municipal Elections Act, 1996*, having reviewed the contributions to candidates as reported on the financial statements filed under section 88.25 of the Act, I, Todd Coles the City Clerk and Returning Officer of the City of Vaughan report that the following contributor appears to have exceeded contribution limits set out under section 88.9 of the Act:

Paul Federico
60 Orlon Crescent
Richmond Hill, ON L4C 6S6

1. The above-named contributor's total contributions to Gino Rosati, a candidate for office on Vaughan Council, appears to have exceeded the limit under section 88.9 based on the following contributions:

Name of Contributor	Full Address of Contributor	Candidate	Contribution Value (\$)	Date Received
Paul and Marianna Federico	60 Orlon Crescent, Richmond Hill ON L4C 6S6	Gino Rosati	\$1,200.00	7 Jun 2018
Paul and Marianna Federico	60 Orlon Crescent, Richmond Hill ON L4C 6S6	Gino Rosati	\$1,200.00	7 Jun 2018

I hereby submit this report to you for your consideration in accordance with section 88.34 of the Act.



Respectfully submitted,

A handwritten signature in blue ink, appearing to read 'T. Coles', written over a horizontal line.

Todd Coles

City Clerk / Returning Officer

Office of the City Clerk

COMPLIANCE AUDIT COMMITTEE REPORT

DATE: Thursday, June 06, 2019

WARD(S): ALL

**TITLE: CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES –
ADAM STARKMAN**

FROM:

Todd Coles, City Clerk / Returning Officer

ACTION: DECISION

Purpose

The report included as Attachment 1 has been submitted to the Compliance Audit Committee for their consideration as required by section 88.34 of the *Municipal Elections Act, 1996* (the Act).

Recommendations

1. That the Compliance Audit Committee consider the report included as Attachment 1 and decide whether to commence a legal proceeding against the contributor noted in the report for an apparent contravention.

Background

Section 88.34(1), (2), (3) and (4) set out the requirements for the Clerk in respect to reviewing and reporting on contributions to candidates which appear to have exceeded the contribution limits set out in section 88.9 of the Act:

Review of contributions to candidates

88.34 (1) The clerk shall review the contributions reported on the financial statements submitted by a candidate under section 88.25 to determine whether any contributor appears to have exceeded any of the contribution limits under section 88.9. 2016, c. 15, s. 64.

Report, contributions to candidates for council

(2) As soon as possible following the day that is 30 days after the filing date or supplementary filing date, as the case may be, under section 88.30, the clerk shall prepare a report identifying each contributor to a candidate for office on a council who appears to have contravened any of the contribution limits under section 88.9 and,

- (a) if the contributor's total contributions to a candidate for office on a council appear to exceed the limit under section 88.9, the report shall set out the contributions made by that contributor to the candidate; and
- (b) if the contributor's total contributions to two or more candidates for office on the same council appear to exceed the limit under section 88.9, the report shall set out the contributions made by that contributor to all candidates for office on the same council. 2016, c. 15, s. 64.

Same

(3) The clerk shall prepare a separate report under subsection (2) in respect of each contributor who appears to have contravened any of the contribution limits under section 88.9. 2016, c. 15, s. 64.

Same

(4) The clerk shall forward each report prepared under subsection (2) to the compliance audit committee. 2016, c. 15, s. 64.

The report included as Attachment 1 constitutes a report prepared and submitted in accordance with section 88.34(4).

Previous Reports/Authority

[Joint Compliance Audit Committee for the 2018-2022 Term of Council, Item No. 6, Report No. 10, Committee of the Whole, March 20, 2018](#)

Analysis and Options

The Compliance Audit Committee is required to render a decision regarding the findings of the report as set out in section 88.34(8) of the Act:

Decision of compliance audit committee

(8) Within 30 days after receiving a report under subsection (4) or (7), the compliance audit committee shall consider it and decide whether to commence a legal proceeding against a contributor for an apparent contravention. 2016, c. 15, s. 64.

The options available to the Committee are:

1. Commence legal proceeding against the contributor for an apparent contravention; or
2. Not to commence legal proceeding against the contributor for an apparently contravention.

Financial Impact

The costs associated with the Compliance Audit Committee are funded by the City of Vaughan's Election Reserve.

Broader Regional Impacts/Considerations

There are no regional impacts or considerations associated with this report.

Conclusion

The decision of the Committee and brief written reasons for the decision shall be given to the contributor and the City Clerk as required by section 88.34(11).

For more information, please contact: Evan Read, Manager, Elections and Special Projects, Extension 8241

Attachments

1. Clerk's Report on Contributions to Candidates – Adam Starkman – 2018 Municipal Election, May 13, 2019

Prepared by

Evan Read, Manager, Elections and Special Projects, Extension 8241
Todd Coles, City Clerk / Returning Officer, Extension 8281



DATE: MAY 13, 2019

TO: COMPLIANCE AUDIT COMMITTEE

FROM: TODD COLES, CITY CLERK / RETURNING OFFICER

RE: CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES:
ADAM STARKMAN, 89 BASIE GATE, THORNHILL
2018 MUNICIPAL ELECTION

In accordance with section 88.34 of the *Municipal Elections Act, 1996*, having reviewed the contributions to candidates as reported on the financial statements filed under section 88.25 of the Act, I, Todd Coles the City Clerk and Returning Officer of the City of Vaughan report that the following contributor appears to have exceeded contribution limits set out under section 88.9 of the Act:

Adam Starkman
89 Basie Gate
Thornhill, ON L4J 9B4

1. The above-named contributor's total contributions to Gino Rosati, a candidate for office on Vaughan Council, appears to have exceeded the limit under section 88.9; and
2. The above-named contributor's total contributions to two or more candidates for office on Vaughan Council appear to have exceeded the limit under section 88.9 based on the following contributions:

Name of Contributor	Full Address of Contributor	Candidate	Contribution Value (\$)	Date Received
Adam and Dana Starkman	39 Basie Gate, Thornhill ON L4J 9B4	Fred Winegust	\$600.00	27 Sep 2018
Adam Starkman	39 Basie Gate, Thornhill ON L4J 9B4	Gino Rosati	\$1,000.00	Unknown
Adam and Sharonne Dana Starkman	39 Basie Gate, Thornhill ON L4J 9B4	Gino Rosati	\$1,200.00	20 Nov 2018
Adam Starkman	39 Basie Gate, Thornhill ON L4J 9B4	Alan Shefman	\$1,200.00	18 Sep 2018
Adam Starkman	39 Basie Gate, Thornhill ON L4J 9B4	Frank Miele	\$1,200.00	6 Sep 2018



I hereby submit this report to you for your consideration in accordance with section 88.34 of the Act.

Respectfully submitted,

A handwritten signature in blue ink, appearing to read 'T. Coles', written over a horizontal line.

Todd Coles

City Clerk / Returning Officer

Office of the City Clerk

COMPLIANCE AUDIT COMMITTEE REPORT

DATE: Thursday, June 06, 2019

WARD(S): ALL

**TITLE: CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES –
PETER CIPRIANO**

FROM:

Todd Coles, City Clerk / Returning Officer

ACTION: DECISION

Purpose

The report included as Attachment 1 has been submitted to the Compliance Audit Committee for their consideration as required by section 88.34 of the *Municipal Elections Act, 1996* (the Act).

Recommendations

1. That the Compliance Audit Committee consider the report included as Attachment 1 and decide whether to commence a legal proceeding against the contributor noted in the report for an apparent contravention.

Background

Section 88.34(1), (2), (3) and (4) set out the requirements for the Clerk in respect to reviewing and reporting on contributions to candidates which appear to have exceeded the contribution limits set out in section 88.9 of the Act:

Review of contributions to candidates

88.34 (1) The clerk shall review the contributions reported on the financial statements submitted by a candidate under section 88.25 to determine whether any contributor appears to have exceeded any of the contribution limits under section 88.9. 2016, c. 15, s. 64.

Report, contributions to candidates for council

(2) As soon as possible following the day that is 30 days after the filing date or supplementary filing date, as the case may be, under section 88.30, the clerk shall prepare a report identifying each contributor to a candidate for office on a council who appears to have contravened any of the contribution limits under section 88.9 and,

- (a) if the contributor's total contributions to a candidate for office on a council appear to exceed the limit under section 88.9, the report shall set out the contributions made by that contributor to the candidate; and
- (b) if the contributor's total contributions to two or more candidates for office on the same council appear to exceed the limit under section 88.9, the report shall set out the contributions made by that contributor to all candidates for office on the same council. 2016, c. 15, s. 64.

Same

(3) The clerk shall prepare a separate report under subsection (2) in respect of each contributor who appears to have contravened any of the contribution limits under section 88.9. 2016, c. 15, s. 64.

Same

(4) The clerk shall forward each report prepared under subsection (2) to the compliance audit committee. 2016, c. 15, s. 64.

The report included as Attachment 1 constitutes a report prepared and submitted in accordance with section 88.34(4).

Previous Reports/Authority

[Joint Compliance Audit Committee for the 2018-2022 Term of Council, Item No. 6, Report No. 10, Committee of the Whole, March 20, 2018](#)

Analysis and Options

The Compliance Audit Committee is required to render a decision regarding the findings of the report as set out in section 88.34(8) of the Act:

Decision of compliance audit committee

(8) Within 30 days after receiving a report under subsection (4) or (7), the compliance audit committee shall consider it and decide whether to commence a legal proceeding against a contributor for an apparent contravention. 2016, c. 15, s. 64.

The options available to the Committee are:

1. Commence legal proceeding against the contributor for an apparent contravention; or
2. Not to commence legal proceeding against the contributor for an apparently contravention.

Financial Impact

The costs associated with the Compliance Audit Committee are funded by the City of Vaughan's Election Reserve.

Broader Regional Impacts/Considerations

There are no regional impacts or considerations associated with this report.

Conclusion

The decision of the Committee and brief written reasons for the decision shall be given to the contributor and the City Clerk as required by section 88.34(11).

For more information, please contact: Evan Read, Manager, Elections and Special Projects, Extension 8241

Attachments

1. Clerk's Report on Contributions to Candidates – Peter Cipriano – 2018 Municipal Election, May 13, 2019

Prepared by

Evan Read, Manager, Elections and Special Projects, Extension 8241
Todd Coles, City Clerk / Returning Officer, Extension 8281



DATE: MAY 13, 2019

TO: COMPLIANCE AUDIT COMMITTEE

FROM: TODD COLES, CITY CLERK / RETURNING OFFICER

**RE: CLERK'S REPORT ON CONTRIBUTIONS TO CANDIDATES:
PETER CIPRIANO, 70 CIPRIANO COURT, WOODBRIDGE
2018 MUNICIPAL ELECTION**

In accordance with section 88.34 of the *Municipal Elections Act, 1996*, having reviewed the contributions to candidates as reported on the financial statements filed under section 88.25 of the Act, I, Todd Coles the City Clerk and Returning Officer of the City of Vaughan report that the following contributor appears to have exceeded contribution limits set out under section 88.9 of the Act:

Peter Cipriano
70 Cipriano Court
Woodbridge, ON L4H 1K6

1. The above-named contributor's total contributions to two or more candidates for office on Vaughan Council appear to have exceeded the limit under section 88.9 based on the following contributions:

Name of Contributor	Full Address of Contributor	Candidate	Contribution Value (\$)	Date Received
Peter Cipriano	70 Cipriano Court, Woodbridge ON L4H 1K6	Mario Ferri	\$1,200.00	25 May 2018
Peter Cipriano	70 Cipriano Court, Woodbridge ON L4H 1K6	Gino Rosati	\$1,200.00	27 Aug 2018
Peter Cipriano	70 Cipriano Court, Woodbridge ON L4H 1K6	Rosanna DeFrancesca	\$1,200.00	21 Aug 2018
Peter Cipriano	70 Cipriano Court, Woodbridge ON L3H 1K6	Alan Shefman	\$1,200.00	17 Sep 2018
Peter Cipriano	70 Cipriano Court, Woodbridge ON L4H 1K6	Eliana Di Biase	\$1,200.00	6 Sep 2018
Peter Cipriano	70 Cipriano Court, Woodbridge L4H 1K6	Maurizio Bevilacqua	\$1,200.00	17 Oct 2018
Peter Cipriano	70 Cipriano Court, Woodbridge ON L4H 1K6	Sunder Singh	\$1,200.00	7 June 2018



I hereby submit this report to you for your consideration in accordance with section 88.34 of the Act.

Respectfully submitted,

A handwritten signature in blue ink, appearing to read 'T. Coles', written over a horizontal line.

Todd Coles

City Clerk / Returning Officer

Office of the City Clerk